

Today: Dimension Theory

Example

Let R be a ring in which every prime ideal is maximal. Assume R Noetherian, reduced.

R maximal ideals m_1, \dots, m_k, \dots (maybe infinite)
 $\bigcap m_i = \{0\}$.

$R \rightarrow \underbrace{R/m_1 \times R/m_2 \dots}_{\text{product of fields}}$ injective.

Prop # of maximal ideals is finite.

Pf $\{0\}$ is not prime $\Rightarrow fg=0$ $f \neq 0$ $g \neq 0$

$\forall i$ $fg=0 \pmod{m_i} \Rightarrow f=0 \pmod{m_i}$ or $g=0 \pmod{m_i}$
 \Rightarrow one of them (f or g) $= 0 \pmod{m_i}$ for infinitely many i . Assume f . Consider $\text{rad}(f)$.

$R/\text{rad}(f)$ again has infinitely many maximal ideals by continue get contradiction. \times

$R \rightarrow R/m_1 \times \dots \times R/m_k$

Prop This is surjective.

Pf pick i , we construct f which goes to $(0, \dots, 1, \dots, 0)$. Let $g_i \in R$ s.t.

$g_i = 0 \pmod{m_i}$, $g_i \neq 0 \pmod{m_j}$ for $j \neq i$. (why does it exist? otherwise $m_i \subset m_j$)
 take $\prod_{i=1}^k g_i = g$, we have $g = 0 \pmod{m_i} \forall i \neq i$

$g \neq 0 \pmod{m_i}$ (m_i is prime), so g goes to $(0, \dots, 0, x, 0, \dots, 0)$ $x \in R/m_i$. Let $z_i \in R/m_i$ be represented by $y \in R$. Then

gy goes to $(0, \dots, 1, \dots, 0)$, done \square

so $R \cong R/m_1 \times \dots \times R/m_k$ (product of finitely many fields).

Generalization

Def (Let R be a ring. Consider $\text{Spec}(R)$.)

A topological space X is irreducible if we cannot have $X = Z_1 \cup Z_2$ for Z_1, Z_2 closed, $Z_1, Z_2 \neq X$.

Prop $\text{Spec}(R)$ is irreducible for R reduced iff R is a domain.

Pf R is a domain $\Rightarrow Z_1, Z_2$ $Z_1 \cup Z_2 = \text{Spec}(R)$

$\Rightarrow I(Z_1) \cap I(Z_2) = \{0\} \Rightarrow I(Z_1) \cdot I(Z_2) = \{0\}$
 $I(Z_1) \neq \{0\} \Rightarrow$ Let $f \in I(Z_1)$ $f \neq 0$
 $\forall g \in I(Z_2)$ we have $fg=0 \Rightarrow g=0 \Rightarrow I(Z_2)=\{0\}$
 $\Rightarrow Z_1 = Z_2 = \text{Spec}(R) \quad \times$

R is not a domain \Rightarrow

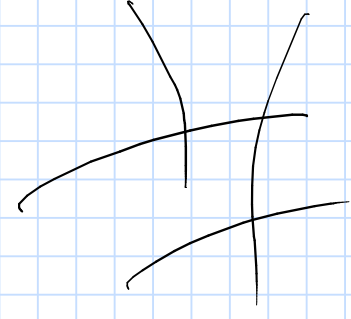
$\exists f, g \neq 0$ s.t. $fg=0 \Rightarrow Z(f) \cup Z(g) = \text{Spec}(R)$

$\text{Spec}(R)$ irreducible $\Rightarrow Z(f) = \text{Spec}(R)$ or $Z(g) = \text{Spec}(R)$

wlog assume $Z(f) = \text{Spec}(R) \Rightarrow \text{rad}(f) = \{0\} \Rightarrow f=0$. \times

Consider R noetherian. $\text{Spec}(R)$

Prop 1) There is only finitely many maximal irreducible closed subsets of $\text{Spec}(R)$



2) $\text{Spec}(R) = \text{union of base}$.

Equivalently $a \in R$ ideal is prime $\Leftrightarrow Z(a)$ is irreducible & $a = \text{rad}(a)$.

1) There is only finitely many minimal prime ideals in R P_1, \dots, P_k

2) $\{0\} = \bigcap_{i=1}^k P_i$

Pf $\{0\}$ is an intersection of finitely many prime ideals: Construct an increasing sequence $a_1 \subset a_2 \subset \dots$ s.t. a_i is not an intersection of finitely many primes. $\text{rad}(a_i) = a_i$ otherwise

Construction given a_i : a_i is not prime $\Rightarrow \exists f, g \notin a_i$ s.t. $fg \in a_i$. Consider $\text{rad}(a_i + (f)), \text{rad}(a_i + (g))$. ($\neq a_i$)

One of them is not an intersection of finitely many primes, because otherwise $\text{rad}(a_i + (f)) \cap \text{rad}(a_i + (g))$

$$(a_i + (f))(a_i + (g)) \subset a_i$$

$$\Downarrow$$

$$\text{rad}((a_i + (f))(a_i + (g))) \subset \text{rad}(a_i) = a_i$$

$$\text{rad}(a_i + (f)) \cap \text{rad}(a_i + (g))$$

clearly $a_i \subset \text{rad}(a_i + (f)) \cap \text{rad}(a_i + (g)) \Rightarrow$ they are equal \Rightarrow contradiction.

so we can choose $a_{i+1} = \text{rad}(a_i + (f))$ or $\text{rad}(a_i + (g))$

Get increasing sequence of ideals \times .

$\{0\} = P_1 \cap P_2 \cap \dots \cap P_k$ some prime ideals P_i .

Claim Take P_i , suppose P_i is not minimal prime $\Rightarrow P_i \supset q$ (prime q) $q \supset \{0\}$

$q \supset P_1 \cap P_2 \dots \cap P_k \Rightarrow q \supset P_1, \dots, P_k$, since q is prime

$q \supset P_j$ some P_j , $j \neq i \Rightarrow P_i \supset q \supset P_j$

$\Rightarrow \{0\} = P_1 \cap \dots \cap P_{i-1} \cap P_{i+1} \cap \dots \cap P_k$.

Continue until we get $\{0\} = P_1 \cap \dots \cap P_k$ and P_1, \dots, P_k are minimal prime ideals.

Let q be a minimal prime of $R \Rightarrow$

$\{0\} = \bigcap P_i \subset q \Rightarrow P_i \subset q$ some $i \Rightarrow P_i = q$.

\Rightarrow so all minimal prime ideals are among P_1, \dots, P_k we are done. \square

Cor In general, $\text{rad}(\{0\}) = \text{Nil}(R) \Rightarrow$

\exists finitely many minimal prime ideals P_1, \dots, P_k $\bigcap P_i = \text{Nil}(R)$

also $a \in R$ ideal \Rightarrow the set of primes containing a has finitely many minimal elements P_1, \dots, P_k , $\text{rad}(a) = P_1 \cap \dots \cap P_k$.

Ex $R = k[x_1, \dots, x_n]$ (k field)

$f \in R, f \neq 0$.

R is a U.F.D. $\Rightarrow f = f_1^{r_1} \dots f_k^{r_k}$ for

f_1, \dots, f_k irreducible. \Rightarrow

$$(f) = (f_1)^{r_1} \dots (f_k)^{r_k} \Rightarrow$$

$$\text{rad}(f) = \text{rad}(f_1) \cap \dots \cap \text{rad}(f_k)$$

$f_i := \text{rad}(f_i)$ is prime (again, using U.F.D.)
 $\Rightarrow \text{rad}(f) = (f_1) \cap \dots \cap (f_k)$

prime ideals $(f_i) \not\subseteq (f_j)$ if $i \neq j$

$\Rightarrow (f_i)$ are the minimal prime ideals containing (f) .

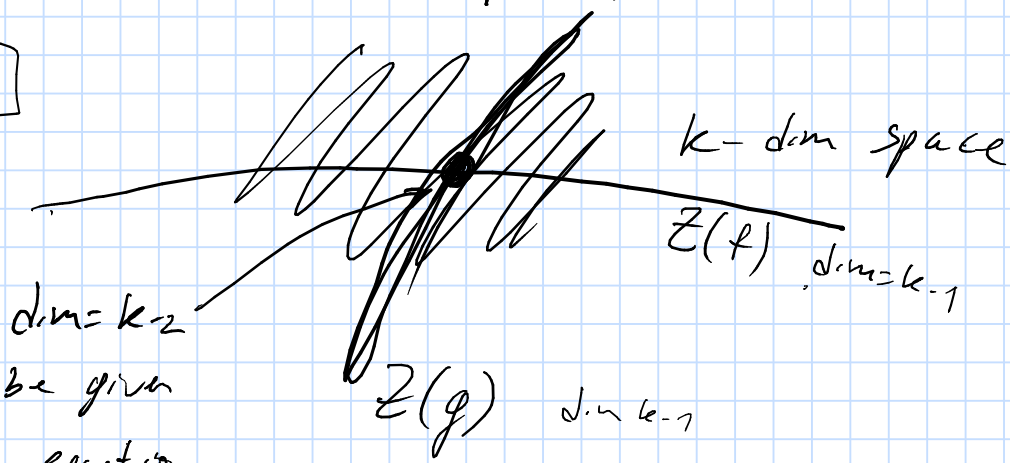
So all minimal $\neq 0$ primes are principal.

(Exercise: all minimal $\neq 0$ primes are principal $\Rightarrow R$ is U.F.D.)

$R = k[x_1, \dots, x_k]$

Ideal

$Z(f, g)$ cannot be given by a single equation.



Some definitions:

if $p \subset R$ is prime then

Def 1 $\text{height}(p) = \max\{k \mid \exists \text{ primes } p_0 \subsetneq p_1 \subsetneq \dots \subsetneq p_k = p\}$
 (can be ∞)

p minimal $\Leftrightarrow \text{height}(p) = 0$

in $k[x_1, \dots, x_k]$ $\text{height}(p) = 1 \Leftrightarrow p = (f)$ (f irreducible)

Geometrically $\text{height}(p) = \dim \text{Spec}(R) - \dim \text{Spec}(R/p)$.

Def 2 $\dim(R) = \max_{p \text{ prime}} \text{height}(p)$.

$= \max\{k \mid \exists \text{ primes } p_0 \subsetneq \dots \subsetneq p_k \subsetneq R\}$.

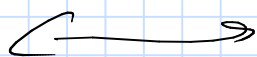
Def 3 X top. space

$\dim X = \max\{k \mid \exists \text{ irreducible } \neq \emptyset \text{ closed } Z_0 \subsetneq Z_1 \subsetneq \dots \subsetneq Z_k \subset X\}$

Prop $\dim \text{Spec}(R) = \dim R$.

Def 4 $g(p) = \left\{ \min(k) \mid \begin{array}{l} p \text{ appears as a minimal prime} \\ \text{containing } (f_1, \dots, f_k) \end{array} \right\}$

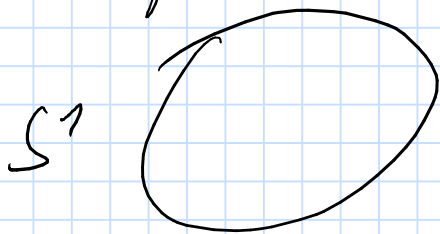
Metric spaces
Hausdorff



"combinatorial spaces"
with finitely many
points

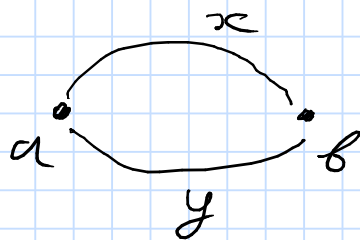
"theorem" any top space is weakly equivalent
to a combinatorial space.

Example



S^1 is weakly
equivalent to X

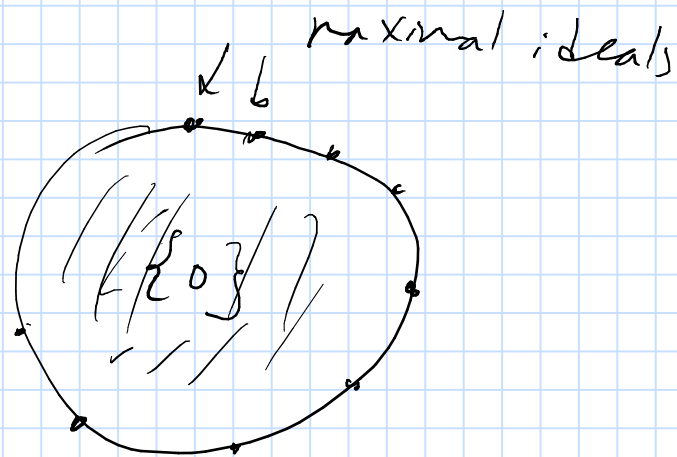
Space $\{a, b, x, y\} = X$



Topology: $\{a\}, \{b\}$ closed
 $\overline{\{y\}} = \{a, b, y\}$
 $\overline{\{x\}} = \{a, b, x\}$

$$\pi_1(X) = \mathbb{Z}$$
$$C(S^1, X) = \left\{ \begin{array}{l} S^1 = A \cup B \cup X \cup Y \\ \text{s.t. } A, B \text{ closed} \\ X, Y \text{ open} \end{array} \right\}$$

$$A^1 = \text{Spec } k[x]$$



Ringed spaces (cont.)

$$0 = 0_X$$

write def again

X top space $\forall U \subset X$ open $\mathcal{O}(U)$ a ring

$\forall U \subset V \subset X$ open $\rho_{U,V} : \mathcal{O}(V) \rightarrow \mathcal{O}(U)$ a ring homo.

Axioms: $U \subset V \subset W \subset X$

1) $\rho_{U,W} = \rho_{U,V} \circ \rho_{V,W}$ $\cap \rho_{U,W}$

2) $U = \bigcup_{\lambda \in \Lambda} U_\lambda \Rightarrow \mathcal{O}(U) \rightarrow \prod_{\lambda \in \Lambda} \mathcal{O}(U_\lambda)$ is injective

3) if $\{f_\lambda \in \mathcal{O}(U_\lambda)\}$ satisfy $\forall \lambda, \mu \rho_{U_\lambda, U_\mu}(f_\lambda) = \rho_{U_\mu, U_\lambda}(f_\mu)$ then $\{f_\lambda\}$ is in the image of 2).

Example $\text{Spec}(R)$ is a ringed space.

How to define $\mathcal{O}(U)$?

Sets U_f ($f \in R$) form a basis of topology.

Any open U is a union of U_{f_i} $f_i \in R$
by 2) 3) we will recover $\mathcal{O}(U)$. ($U_f \cap U_g = U_{fg}$)

So let's define $\mathcal{O}(U_f)$ $f \in R$
 $U_f \cong \text{Spec}(R[x]/(fx-1))$ so set

$$\mathcal{O}(U_f) = R[x]/(fx-1)$$

Restriction. Suppose $U_f \subset U_g$
we need $\mathcal{O}(U_g) \rightarrow \mathcal{O}(U_f)$

$$\frac{1}{g} \mapsto ? \quad f^{-n} h$$

$$R[x]/(gx-1) \rightarrow R[x]/(fx-1) \quad \begin{matrix} f^n = 0 \text{ mod } g \\ f^n = g \cdot h \end{matrix} \quad h \in R$$

$$x \mapsto x^n h \quad \frac{1}{g} = f^{-n} h$$

Since $gx-1 \rightarrow gx^n h-1 = f^n x^{n-1} = 0$
the homomorphism is well-defined.

In fact $\mathcal{O}(U_g) \xrightarrow{\varphi} \mathcal{O}(U_f)$ φ is unique making diagram commutative

because choice of φ corresponds to a choice of $\alpha \in \mathcal{O}(U_f)$ s.t. $\alpha g = 1$, inverse is unique.

For $U = \bigcup_{\lambda \in \Lambda} U_{f_\lambda}$

$$\mathcal{O}(U) = \left(\alpha_\lambda \in \mathcal{O}(U_{f_\lambda}) \right)_{\lambda \in \Lambda} \text{ s.t. } (\forall \lambda, \mu \dots)$$

this defines a ring.

Problem what about independence of $\{U_{f_\lambda}\}$?

Solution Let $\Lambda = \{f / U_f \subset U\}$
 $\lambda \in \Lambda \quad f_\lambda = f$

Solve the uniqueness problem.

for $U = U_f$ we have 2 definitions.

$$\mathcal{O}(U_f) \xrightarrow{\text{restrict}} \left(f_\lambda \in \mathcal{O}(U_\lambda) \right)_{U_\lambda \subset U_f}$$

$$\xleftarrow{\text{take } \lambda=f}$$

The main problem is to prove 2) & 3) for

$$U_f = \bigcup_{\lambda \in \Lambda} U_{g_\lambda}$$

$$\mathcal{Z}(f) = \mathcal{Z}(g_\lambda)$$

$$\text{rad}(f) = \sum \text{rad}(g_\lambda)$$

$$f^n \in \sum \text{rad}(g_\lambda)$$

$$f^n = a_1 + \dots + a_k \quad \lambda_1, \dots, \lambda_k \in \Lambda$$

$a_i \in \text{rad } g_{\lambda_i}$
we have $a_i^m \in g_{\lambda_i}$ some m

$$\Leftrightarrow f^{mnk} \in (g_{\lambda_1}, \dots, g_{\lambda_k})$$

$$\mathcal{O}(U_f) \rightarrow \prod \mathcal{O}(U_{g_\lambda})$$

$R[f^{-1}]$ t.b.c.